FISCAL YEAR 2008, Quarter Ending 12/31/07

CHARGES, FINDINGS, CCA WITH VIOLATION, AND CCA WITHOUT VIOLATION*

DOADD.	OCCUPATION	CATEGORY OF ALLECATION	NO VIOLATION	VIOLATION	UNDETER-	CONFIDENTIAL CONSENT AGREEMENT WITH	CONSENT AGREEMENT WITHOUT	TOTAL # of
BOARD	OCCUPATION	CATEGORY OF ALLEGATION	VIOLATION		MINED	VIOLATION	VIOLATION	ALLEGATIONS
Audiology/Speech Pathology	Speech Pathologist	Confidentiality-Breach		1				
	<u> </u>	Misappropriation of Property		1				1
Audiology/Speech Patholog				2				2
Counseling	Certified Substance Abuse Counselor	Business Practices/Issues Drug Related-Obtaining Drugs by Fraud Inability Safely Prac-Impairment Standard of Care-Treatment Related	1		1 1 1			1 1
	Licensed Marriage and Family Therapist	Relationship-Inappropriate	 					+
	Licensed Professional Counselor	Business Practices/Issues	4					
	Licensed Froiessional Counselor	Confidentiality-Breach	1 7		'			
		Relationship-Inappropriate						
		Standard of Care-Treatment Related	'		2)		
		zzz Standards of Care	1		2	_		
	Substance Abuse Counseling Assistant	Relationship-Inappropriate	'	1				
Counseling Total	Substance Abuse Counseling Assistant	Relationship-inappropriate	9	1	-	•		16
Dentistry	Dental Hygienist	Unlicensed Activity	9	1				2
Dentistry	Dentist Dentist	Abandonment	1	ı	· ·			4
	Dentist	Abuse						'
			7			4		
		Advertising-deceptive/misleading Business Practices/Issues	-			2		1
			39	4		2		41
		Compliance-To Board	2	1		1		
		Confidentiality-Breach	1	4				
		Cont'd Competency Reqnot met		1				
		Criminal Activity/Conviction		2		_		2
		Fraud	6	2		2		10
		Licensure Eligibility	1					1
		Other	1					1
		Records Release	1	1				2
		Records/Inspections/Audits	1			1		2
		Reinstatement		1				1
		Standard of Care-Consent Related	1					1
		Standard of Care-Diagnosis Related	8	2				10
		Standard of Care-Malpractice Reports	1	1	1			3
		Standard of Care-Treatment Related	43	11	8	3 1		63
		Supervision-Neglect				1		1
		Unlicensed Activity	2	6	2	2 2		12
	Oral/Maxillofacial Surgeon Registration	Criminal Activity/Conviction Standard of Care-Malpractice Reports		1	1			1
Dentistry Total		Total data of Galo Malpraolice Reports	116	30	13	3 11		170

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER- MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION	TOTAL # of ALLEGATIONS
Funeral Directing	Courtesy Card	Unlicensed Activity	1					1
g	Funeral Establishment	Business Practices/Issues	•	3				3
ı	. anoral zolaznomnom	Fraud		3				3
ı		Records/Inspections/Audits		1				1
ı	Funeral Service Provider	Business Practices/Issues		3	2	1		5
ı		Compliance-To Board		1				1
ı		Fraud		4	1			5
ı		Unlicensed Activity			1			1
ı	Funeral Trainee	Unlicensed Activity			2	1		2
Funeral Directing Total	r anotal trained	O mooned risking	1	15	6			22
Long-Term Care Administr	ator Nursing Home Administrator	Business Practices/Issues	2					2
		Fraud	1					$\frac{1}{1}$
		Records/Inspections/Audits	1		1			2
ı		Standard of Care-Treatment Related	1					1
Long-Term Care Adminis	strators Total	Ctandara or Caro Trodunisti Holdica	5		1			6
Medicine	Athletic Trainer	Licensure Eligibility		1	-			1
Wedienie		Unlicensed Activity		1				1
ı	Chiropractor	Abuse		1	1			2
ı		Action by Another Board/Entity	1		1			2
ı		Advertising-deceptive/misleading	1	4	5	1		11
ı		Business Practices/Issues	·		2			2
ı		Compliance-To Board	1		_			1
ı		Criminal Activity/Conviction	·	1				1
ı		Fraud		2	2	•		4
ı		Records Release		_	2			2
ı		Standard of Care-Diagnosis Related	1	1	1			3
ı		Standard of Care-Treatment Related	1	2	2	!		5
ı		Unlicensed Activity		1	1			2
İ	Interns & Residents	Action by Another Board/Entity			3			3
ı		Confidentiality-Breach			3			3
ı		Drug Related-Excessive Rx/Dispensing		1		1		2
ı		Fraud	1					$\frac{1}{1}$
ı		Standard of Care-Med/Prescrip Related		1		1		2
ı		Standard of Care-Other	1					1
ı		Standard of Care-Treatment Related	1					1
ı	Licensed Acupuncturist	Abuse			1			1
ı	·	Criminal Activity/Conviction			1			1
I	Medicine & Surgery	Abandonment	5		2	1		7
I	J. ,	Abuse	4		8			13
I		Action by Another Board/Entity	1	9	9)		19
I		Advertising-deceptive/misleading		_	4			4
I		Business Practices/Issues	22		14			36
I		Compliance-To Board	1					1
1		Confidentiality-Breach	2		4			6
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POARD	OCCUPATION	CATECODY OF ALLEGATION	NO MOLATION	VIOLATION	UNDETER-	CONFIDENTIAL CONSENT AGREEMENT WITH	CONSENT AGREEMENT WITHOUT	TOTAL # of
BOARD	OCCUPATION	CATEGORY OF ALLEGATION		VIOLATION	MINED	VIOLATION	VIOLATION	ALLEGATIONS
Medicine	Medicine & Surgery	Drug Related-Excessive Rx/Dispensing	2	5	3	3		13
		Drug Related-Obtaining Drugs by Fraud		1				1
		Drug Related-Personal Use		4		1		5
		Fraud	18	1	8	3	1	31
		Inability Safely Prac-Impairment		2	1			3
		Inability Safely Prac-Incapacitated	1		1			2
		Inability To Safely Practice-Other		1				1
		Licensure Eligibility		2				2 16 9 1
		Neglect	4	3	9			16
		Other	8		1			9
		Prescription Blanks			1			
		Records Release	7		5			12
		Reinstatement	1	1				12 2 3
		Relationship-Inappropriate			3			3
		Required Report Not Filed		4	16	2		22 5
		Standard of Care-Consent Related	2		3			5
		Standard of Care-Diagnosis Related	24	1	23			48
		Standard of Care-Equip/Prod Related	1					1
		Standard of Care-Malpractice Reports	25	1	41			67
		Standard of Care-Med/Prescrip Related	5	6	10	1		22
		Standard of Care-Other	28	_	18			22 46
		Standard of Care-Surgery Related	21	1	34			56
		Standard of Care-Treatment Related	72	3	41			116
		Supervision-Neglect	1	_				1
		Unlicensed Activity	3	1				4
		zzz Standards of Care	2	•	3			5
	Occupational Therapist	Licensure Eligibility		1				1
	Cooupational Metapiot	Unlicensed Activity		1				
	Osteopathy & Surgery	Action by Another Board/Entity		1	1			2
	Ostcopatily & ourgery	Business Practices/Issues	1		1			2
		Fraud	2		•			2
		Relationship-Inappropriate		1				1
		Standard of Care-Diagnosis Related	1	'				
		Standard of Care-Malpractice Reports			1			2
		Standard of Care-Maipractice Reports Standard of Care-Other			1			5
		Standard of Care-Other Standard of Care-Surgery Related	1 1		3			3
		· · · · · · · · · · · · · · · · · · ·	3		2			5
	Dhuaisian Assistant	Standard of Care-Treatment Related	3		1			3
	Physician Assistant	Action by Another Board/Entity			1			1
		Standard of Care-Other	2					2
	Dedictor	Standard of Care-Treatment Related	1		1			2
	Podiatry	Advertising-deceptive/misleading			2			2
		Business Practices/Issues			1			1
		Fraud	1					1
		Standard of Care-Malpractice Reports	1] 1
		Standard of Care-Surgery Related	1		1			2

BOARD Medicine	OCCUPATION Podiatry Radiologic Technologist	CATEGORY OF ALLEGATION Standard of Care-Treatment Related zzz Standards of Care Action by Another Board/Entity Fraud Licensure Eligibility	NO VIOLATION 1 1	VIOLATION 1 1 2	UNDETER- MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION	TOTAL # of ALLEGATIONS 1 1 1 1 3
		Standard of Care-Treatment Related Unlicensed Activity	1	4				1 5
	Respiratory Care Practitioner	Action by Another Board/Entity Drug Related-Personal Use HPIP Dismissal Inability Safely Prac-Impairment	1	2 1 2	1			1 3 1 3
Medicine Total	T		293	82		15	1	698
Nurse Aide	Nurse Aide	Abandonment Abuse Action by Another Board/Entity Compliance-To Board	3 23	3 26 2 3	6	i		6 55 2 3
		Confidentiality-Breach Criminal Activity/Conviction Dishonored Check		1 21 10	1			1 22 10
		Drug Related-Obtaining Drugs by Fraud Drug Related-Other Drug Related-Personal Use		2 1 4				3 1 5
		Fraud HPIP Dismissal Inability Safely Prac-Impairment	1	27 1 4				28 1 4
		Inability Safely Prac-Incapacitated Licensure Eligibility Misappropriation of Property Neglect	7	3 11 6 10	1 1			3 12 7 18
		Reinstatement Relationship-Inappropriate Standard of Care-Treatment Related	1	8 1 5				8 1 6
		Unlicensed Activity zzz Drug Related		1				1 1
Nurse Aide Total			35	151	12			198
Nursing	Certified Massage Therapist	Abuse Criminal Activity/Conviction	1	2				1 2
	Clinical Nurse Specialist Licensed Nurse Practitioner	Reinstatement Confidentiality-Breach Drug Related-Obtaining Drugs by Fraud Neglect Standard of Care-Diagnosis Related Standard of Care-Malpractice Reports Standard of Care-Med/Prescrip Related Standard of Care-Surgery Related	1 1 1 3 3 3 1	1	1			1 1 1 1 4 3 1 3

BOARD	OCCUPATION	CATEGORY OF ALLEGATION	NO VIOLATION	VIOLATION	UNDETER- MINED	CONFIDENTIAL CONSENT AGREEMENT WITH VIOLATION	CONFIDENTIAL CONSENT AGREEMENT WITHOUT VIOLATION	TOTAL # of ALLEGATIONS
Nursing	Licensed Nurse Practitioner	Standard of Care-Treatment Related	5		1			6
1 10		Unlicensed Activity	1		·			1
	Licensed Practical Nurse	Abandonment		1				1
		Abuse	2		2			4
		Action by Another Board/Entity	_	1				1
		Compliance-To Board	1	3				4
		Confidentiality-Breach	1					1
		Criminal Activity/Conviction	1	6				7
		Dishonored Check		2				2
		Drug Related- Security			1			1
		Drug Related-Obtaining Drugs by Fraud	3	8	4	1		15
		Drug Related-Other			1			1
		Drug Related-Personal Use	1	1	2	1		6
		Fraud		3	3	3		6
		HPIP Dismissal			2			
		Inability Safely Prac-Impairment	4	3	4	1		2 11 2 2 2
		Inability Safely Prac-Incapacitated		1				2
		Inability To Safely Practice-Other	1		1			2
		Licensure Eligibility		2				2
		Misappropriation of Property			1			1
		Neglect	6	2				8
		Records/Inspections/Audits			1			1
		Reinstatement		1				1
		Standard of Care-Malpractice Reports	1					1
		Standard of Care-Med/Prescrip Related	1		1			2
		Standard of Care-Treatment Related	7					7
		Unlicensed Activity			1			1
		zzz Action Other Bd-Disc Act		1				1
	LPN by Privilege-Discipline	Fraud		1				1
		Standard of Care-Treatment Related		1				1
	Registered Nurse	Abuse	2	1				3
		Action by Another Board/Entity	2	6	2	2		10
		Business Practices/Issues	3					3
		Compliance-To Board	3	2	1			6
		Confidentiality-Breach	1					1
		Criminal Activity/Conviction	1	6		2		9
		Dishonored Check		2				2
		Drug Related-Obtaining Drugs by Fraud	6	7	2	2		15
		Drug Related-Other	1					1
		Drug Related-Personal Use	4	1	1			6
		Fraud	2	1				3
		HPIP Dismissal		4	2			6
		Inability Safely Prac-Impairment	2	6				10 7
		Inability Safely Prac-Incapacitated	1	1	-			7
		Inability To Safely Practice-Other	1		1			2

ı								I
						CONFIDENTIAL	CONFIDENTIAL	_
						CONSENT	CONSENT	
						AGREEMENT	AGREEMENT	
BOARD	OCCUPATION	OATEOORY OF ALLEGATION	NO	\	UNDETER-	WITH	WITHOUT	TOTAL # of
	OCCUPATION Registered Nurse	CATEGORY OF ALLEGATION Licensure Eligibility	VIOLATION	VIOLATION 2	MINED	VIOLATION	VIOLATION	ALLEGATIONS
Nursing	Registered Nurse	Neglect	7	2	1			2 0
		Other	1 1		'			0
		Reinstatement	'	3				1
		Relationship-Inappropriate	1	3				3
		Standard of Care-Med/Prescrip Related	2	1				1 2
		Standard of Care-Med/Prescrip Related	5	1				3
		Supervision-Neglect						1
		Unlicensed Activity	2	3	2)		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
		zzz Action Other Bd-Disc Act		1	2	<u>-</u>		1
	RN by Privilege-Discipline	Abuse	1					1
	Triviby i fivilege-biscipline	Inability Safely Prac-Incapacitated	'		1			1
Nursing Total		masinty carety i rae meapachated	98	88				237
Optometry	TPA Certified Optometrist	Advertising-deceptive/misleading		1				1
		Business Practices/Issues	3		1			4
		Standard of Care-Diagnosis Related	2					2
		Standard of Care-Med/Prescrip Related	1					1
		Standard of Care-Treatment Related	3					3
Optometry Total			9	1	1			11
Pharmacy	Pharmacist	Action by Another Board/Entity		1	1			2
		Business Practices/Issues	4		1			5
		Criminal Activity/Conviction		1				1
		Drug Related-Excessive Rx/Dispensing	2					2
		Drug Related-Obtaining Drugs by Fraud		1	1			2
		Records/Inspections/Audits	1	1		_		2
		Standard of Care-Med/Prescrip Related	5	2	10) 3		20
		Standard of Care-Other	1					1
		Standard of Care-Treatment Related	1			_		1
	Ž.	Unlicensed Activity	2			7		9
	Pharmacy	Business Practices/Issues	2		2			4
		Standard of Care-Med/Prescrip Related	3		2	<u>′</u>		5
		Standard of Care-Other						1
	Dharmaou Intorn	Unlicensed Activity	1		- 1			1
	Pharmacy Intern Pharmacy Technician	Unlicensed Activity Business Practices/Issues	1		1	l .		1
	Friamacy recrimician	Confidentiality-Breach						
		Criminal Activity/Conviction	'	4				1
		Drug Related-Excessive Rx/Dispensing		1				4
		Drug Related-Obtaining Drugs by Fraud	2	7	1			10
		Drug Related-Personal Use	2	1	'			3
		Inability Safely Prac-Incapacitated	1	ı				3
		Inability To Safely Practice-Other						
		Misappropriation of Property	1 '	3				3
		Standard of Care-Med/Prescrip Related		3	1] 3
		Standard of Care-Other	1		'			
I	l .	Standard of Gare-Other	1					1 '!

						CONFIDENTIAL	CONFIDENTIAL	
						CONSENT	CONSENT	
						AGREEMENT	AGREEMENT	
			NO		UNDETER-	WITH	WITHOUT	TOTAL # of
BOARD	OCCUPATION	CATEGORY OF ALLEGATION	VIOLATION	VIOLATION	MINED	VIOLATION	VIOLATION	ALLEGATIONS
Pharmacy	Pharmacy Technician	Unlicensed Activity			1	5		6
	Pilot Programs	Compliance-To Board	1					1
Pharmacy Total			33	22	21	15		91
Physical Therapy	Physical Therapist	Action by Another Board/Entity		1				1
		Drug Related-Obtaining Drugs by Fraud			1			1
		Neglect			1			1
		Standard of Care-Treatment Related	1		1			2
Physical Therapy Total			1	1	3			5
Psychology	Clinical Psychologist	Abandonment			1			1
-		Business Practices/Issues	3					3
		Inability Safely Prac-Impairment	1					1
		Relationship-Inappropriate		1	2			3
		Self-Referral of Patients		1				1
		Standard of Care-Diagnosis Related		1				1
		Standard of Care-Malpractice Reports	1					1
		Standard of Care-Treatment Related	1					1
Psychology Total			6	3	3			12
Social Work	Licensed Clinical Social Worker	Abuse	1					1
Coolai Troin		Business Practices/Issues	2		1			3
		Confidentiality-Breach	_	2	•			2
		Fraud		_	1			1
		Inability Safely Prac-Impairment			1			'1
		Records Release	1		•			'1
		Required Report Not Filed	'					'1
		Standard of Care-Treatment Related			1			2
		Supervision-Neglect			'			
Social Work Total		Juper vision-ineglect	7	2	4			13
Veterinary Medicine	Full Service Veterinary Facility	Business Practices/Issues	1		=			13
veterinary Medicine	Veterinarian	Business Practices/Issues	7		1			8
	vetermanan	Compliance-To Board	1 1	2	•			3
			'	2	4			3
		Criminal Activity/Conviction Drug Related-Other		1	ı			
		Inability Safely Prac-Impairment		ı				
]
		Inability Safely Prac-Incapacitated	1]
		Records/Inspections/Audits		1				1
		Standard of Care-Diagnosis Related	6					6
		Standard of Care-Med/Prescrip Related	3					3
		Standard of Care-Other	1 1					1
		Standard of Care-Surgery Related	1	1		1		3
		Standard of Care-Treatment Related	22	4	_			26
		Unlicensed Activity		1	1			2
	Veterinary Technician	Compliance-To Board		1] 1
		Standard of Care-Treatment Related	1					1
Veterinary Medicine Tota	al		45	11	3	1		60
Grand Total			658	409	431	42	1	1541
								1